Unified Registration System (URS) Overview

2016 AAMVA Annual Meeting
March 10, 2016

Jeff Loftus
jeff.loftus@dot.gov
202-385-2363
What is the Unified Registration System?

- URS is a new streamlined online system that will simplify the Federal Motor Carrier Safety Administration (FMCSA) registration process:
  - Combines multiple registration processes.
  - Consolidates information technology systems.
  - Consolidates multiple forms into a single registration process.
- URS also is a clearinghouse and repository of information on all entities regulated by the Agency.
Why did FMCSA undertake the URS rulemaking?

- To simplify the registration process and to save time from filling out paperwork
- To maintain more accurate information on the entities subject to FMCSA’s jurisdiction
- To implement provisions required by legislation
  - ICC Termination Act of 1995
  - Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users
Effective November 1, 2013, all regulated entities are required to update their registration information every 24 months (biennial update requirement).

FMCSA deactivates the USDOT number of any carrier that fails to comply with the biennial update requirement.

The URS rulemaking simplifies and reduces the amount of time entities must spend filling out paperwork as part of the registration process; enables the Agency to maintain more accurate information on regulated entities.
Who Is Required to Comply with the Final Rule?

- All entities regulated by FMCSA, including:
  - Motor carriers.
  - Intermodal equipment providers (IEPs).
  - Hazardous materials safety permit (HMSP) applicants/holders.
  - Brokers.
  - Freight forwarders.
  - Cargo tank (CT) facilities.
Simplified processes and combined forms, using a single online, interactive application

Reduced paperwork and errors

Improved accountability

Raises the bar for safety

Electronically screens all applications to identify high risk carriers, including potential reincarnated carriers
FMCSA Deactivated 319,165 USDOT Numbers

*As of 1/29/2016*

Office of Research and Information Technology
Safety Benefits from Deactivating Carriers

Between 2010 and 2014 deactivated carriers’ safety performance was worse than the national average

- Driver and vehicle out-of-service (OOS) inspection rates were **24 to 55 percent higher** than the national averages per year.

- The percentage of compliance investigations resulting in Unsat/Unfit OOS orders were **2 to 4 times higher** than national carrier average rate

- The average number of acute and critical violations per investigation for deactivated carriers was **1.4 to 2 times higher** than the national average

- On average, **50 percent** of deactivated carrier investigations resulted in a Notice of Claim—monetary fine—being issued **compared to 30 percent** for the national average
## URS Timeline

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>December 12, 2015</td>
<td>Electronic filing only using MCSA-1 online application process for new applicants</td>
</tr>
<tr>
<td></td>
<td>(excluding Non-North American and Mexican Long Haul carriers)</td>
</tr>
<tr>
<td>September 30, 2016</td>
<td>All entities must update their name, form of business or address within 30 days of</td>
</tr>
<tr>
<td></td>
<td>change</td>
</tr>
<tr>
<td></td>
<td>Insurance filing required for new exempt for-hire and private HM, before registration granted</td>
</tr>
<tr>
<td></td>
<td>BOC-3 form required for new private and exempt for-hire carriers, before registration granted</td>
</tr>
<tr>
<td></td>
<td>All new applicants are subject to a registration fee, for each registration requested</td>
</tr>
<tr>
<td></td>
<td>All entities will be required to use the electronic process to request any new registration</td>
</tr>
<tr>
<td>December 31, 2016</td>
<td>Existing private and exempt for-hire carriers required to have BOC-3 on file, to avoid enforcement</td>
</tr>
<tr>
<td></td>
<td>Existing exempt for-hire and private HM carriers required to have evidence of financial responsibility of file, to avoid enforcement</td>
</tr>
</tbody>
</table>
URS Implementation Timeline

- December 12, 2015:
  - Only new, first-time applicants file through URS.*
  - Existing entities use current registration processes.
- September 30, 2016:
  - All new and updated registrations are completed through URS (paper forms no longer accepted).
  - The USDOT number becomes the sole identifier; docket (MC, FF, MX) numbers will no longer be issued.
  - All new applicants are required to pay for safety registration as a separate registration, a fee of $300.
- December 31, 2016:
  - Insurance filings required for existing private HM and exempt for-hire carriers.
  - Service of process agent filings (BOC-3) required for existing private and exempt for-hire carriers.

*Excludes non-North American and Mexican long-haul carriers.
URS Online Registration Process Flow

Who are you?
- Applicant contact info (company official or authorized rep)
- Business name, type, location
- Ownership and control, officers

What is your operation?
- Property, PAX, HM, IEP, FF, CTF, Broker, HHG
- For hire, private, unit of gov’t
- Number of vehicles, drivers

Completing the registration
- Certifications, oaths
- Affiliations
- Payment for registration(s), notifications
- Insurance, BOC-3 filings
Accessing URS: www.fmcsa.dot.gov/urs
Welcome to the Unified Registration System.

Are you a New Applicant or a Returning Applicant?

www.fmcsa.dot.gov/urs
Resources


- Get information on URS or biennial updates: [www.fmcsa.dot.gov/urs](http://www.fmcsa.dot.gov/urs)

- For FMCSA registration and licensing FAQs and to submit questions: [www.fmcsa.dot.gov/ask](http://www.fmcsa.dot.gov/ask)

- View carrier information at the FMCSA Portal: [https://portal.fmcsa.dot.gov/login](https://portal.fmcsa.dot.gov/login)

- FMCSA Contact Center:
  - Phone: 800-832-5660
  - Monday–Friday, 8 a.m.–8 p.m., Eastern Standard Time
What Can You Do to Support the URS Rollout?

- Encourage carriers to sign up for FMCSA portal accounts
- Participate in upcoming URS conference calls and webinars
- Participate in technical meetings testing out new data exchange files between FMCSA and State systems (e.g., SAFER/CVIEW)
- Consider including available URS outreach materials in vehicle registration reminder mailings to motor carriers
Contact Information

Jeff Loftus
URS Team Lead
Federal Motor Carrier Safety Administration
U.S. Department of Transportation
Washington, D.C. 20590

jeff.loftus@dot.gov

202-385-2363